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CONCERNING A FILING UN	097 830752							
	NATIONAL FILING DATE	PRIORITY DATE CLAIMED						
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TITLE OF INVENTION  MOBILE STATION RECEIVING METHOD AND MOBILE STATION RECEIVER								
APPLICANT(S) FOR DO/EO/US Hiroshi FURUKAWA								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
I. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.								
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).								
4. X A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.								
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
a. is transmitted herewith (required only if not transmitted by the International Bureau).								
b. X has been transmitted by the International Bureau.								
c. is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. X A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).								
a. are transmitted herewith (required only if not transmitted by the International Bureau).								
b. have been transmitted by the International Bureau.								
c. have not been made; however, the time limit for making such amendments has NOT expired.								
d. A have not been made and will not be made.								
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10. X A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Items 11. to 16. below concern document(s) or in	formation included:							
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
12. 🔀 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. A FIRST preliminary amendment.								
A SECOND or SUBSEQUENT preliminary amendment.								
14. A substitute specification.	EXPRESS	MAIL CERTIFICATE						
15. A change of power of attorney and/or addre		y that this correspondence is being						
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	April 30, 2001							
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17. X The following fees are submitted:					CALCULATIONS PTO USE ONLY		
BASIC NATION Neither internation	AL FEE (37 CFR 1.492 national preliminary exam	(a) (1) - (5) ): ination fee (37 CFR 1.482) .445(a)(2)) paid to USPTO epared by the EPO or JPO	\$1 -000				
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Total claims	8 -20 =	0	X \$18.00	\$			
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9 .		TOTAL NATION.		\$ 1	,100.00		
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# 1 DESCRIPTION

## MOBILE STATION RECEIVING METHOD. AND MOBILE STATION RECEIVER

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#### Technical Field

The present invention relates to a mobile station receiving method and receiver for a mobile station and a communication system on a down channel in a CDMA (Code Division Multiple Access) cellular system, wherein a plurality of signals modulated by pseudo noise sequences which are orthogonal with each other.

### Background Art

In the down channel of the code division multiple access cellular mobile communication system (CDMA cellular), the transmission signals to the terminals are modulated by the orthogonal pseudo noise sequences which are synchronized with each other.

20 The interference between the codes is reduced by using the synchronized orthogonal codes, thereby obtaining communication capacity. The mobile terminal filters the received signal by using a matching filter which is provided with tap coefficients which correspond to the pseudo noise 25 sequences allocated to the mobile terminal, in order to select the signal directed to the mobile terminal. The output from the matching filter becomes great, when the correlation between

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the pseudo noise sequences indicated by the tap coefficients and the received signal.

The signal transmitted from the base station is distorted through a plurality of propagation paths. Therefore, the mobile station receives a plurality of delayed signal components. Accordingly, the waveform outputted from the matching filter contains a plurality of peaks affected by propagation losses and delays. The mobile station can make good use of the received signal, if the mobile station demodulates the plurality of the peaks independently and combines them. The RAKE receiving method is indispensable for the CDMA cellular, because it utilizes effectively the plurality of signal components dispersed by the plurality of delay times. The RAKE receiving is, for example, described in Proceedings of the IRE, pp.555-570, March, 1958.

Figure 5 is an example of a conventional mobile receiver provided with the RAKE receiving unit. The signal received by antenna 101 is converted into a base band signal by frequency conversion unit 102. The output from frequency conversion unit 102 is outputted toward code timing detection unit 106 in order to measure demodulation timings and received signal intensities of signal components of a plurality of delay times. The output from frequency conversion unit 102 which contains the signal components of the plurality of delay times is also inputted into despreading unit  $103 \sim 105$  in order to despreading the signal, on the basis of the demodulation

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timings and the received signal intensities which are detected by code timing detection unit 106.

Further, the outputs from de-spreading units 103 to 105 are inputted into decoders 107 to 109 in order to decode the signal components. The decoded signal components are combined by combining unit 110 in order to output received data.

Figure 6 is an example of a received waveform measured by code timing detection unit 106. The horizontal axis represents time and the vertical axis represents signal intensity. The waveform "a" and "b" are the signal components which are received at different timings. The two signal components through different propagation paths are shown separately for simplicity in Figure 6, although they are superimposed in the waveform actually received. As shown in Figure 6, the received waveform has a plurality of peaks due to distortions by propagation paths. Code timing detection unit 106 detects the peak positions and the peak intensities.

The communication quality of the mobile station is decided only by interference from other cells and noise, because any interference does not occur in principle in a cell on distortion-free down channel, when the orthogonal synchronous codes are used by the CDMA transmitter. However, an effect of channel distortion is actually inevitable, because signals are spread in wide band in the CDMA.

25 Due to interference caused by the channel distortion, signal components of deferent decoding timings are received. Figure 7 is an example of the waveform measured by code timing

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Therefore, an object of the present invention is to suppress the interference due to the channel distortion in the CDMA cellular system, wherein desired signals and undesired signals are spread by the orthogonal pseudo random codes.

#### Disclosure of the Invention

In the signal receiving method and apparatus for mobile station of the present invention in the CDMA cellular system using the synchronous orthogonal codes on the down channel, the mobile receiver or a down channel receiver includes an equalizer for equalizing the channel distortion.

On the down channel of the code division multiple access cellular mobile communication system (CDMA cellular), the signals transmitted to the mobile terminals are spectrum-spread signals by the orthogonal codes which are synchronized with each other. The signals transmitted by the base station are received by the mobile terminals through a plurality of propagation paths which causes the channel distortions which cause a plurality of signal components with a plurality of delay times. The signal components at different decoding timings are received as interference. The equalizer equalizes the channel distortions before decoding the received signals.

The present invention is directed to the down channel in the code division multiple access CDMA cellular communication system, wherein the base station makes up a plurality of spectrum spread signals by using the orthogonal codes, and

transmits them by superposing them in the synchronized state, while the plurality of mobile stations receives them which are distorted by a plurality of radio channels with different delay times.

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The present invention is directed to the down channel in the CDMA cellular communication system, wherein the base station makes up a plurality of spectrum-spread signals by using the orthogonal codes, and transmits them by superposing them in the synchronized state, while the plurality of mobile stations receives them which are distorted by a plurality of radio channels with different delay times. In this communication system, the mobile station comprises a frequency conversion unit for converting the signal receive by an antenna into a base band signal, a propagation channel estimation unit for detecting a frequency characteristic of the

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radio channel on the basis of the uotput from the frequency conversion unit, a filter unit for generating the inverse characteristic of the radio channel, and a decoding unit for decoding the output from the filter unit.

According to the present invention, the interference due to the channel distortion is eliminated, because the delay is eliminated by the equalization of the frequency characteristic by generating the inverse frequency characteristic. Accordingly, the communication quality becomes high and the communication capacity becomes large, due to the elimination of the interference.

The distortion of the desired signal from the connected base station is the same as that of the interference signal, because the propagation paths of the desired signal is the same as that of the interference signal. Therefore, the interference is eliminated by equalizing the channel distortion suffered by the signal received by the mobile station.

## Brief Explanation of the Drawings

20 Figure 1 shows an embodiment of the mobile terminal of the present invention.

Figure 2 is a waveform outputted from the equalizing filter.

Figure 3 is a graph of received DUR vs. the number of users of the mobile terminals.

25 Figure 4 shows another embodiment of the mobile terminal of the present invention.

Figure 5 shows a conventional mobile terminal.

Figure 6 is a waveform outputted from a matching filter of the conventional mobile terminal.

Figure 7 a waveform outputted from a matching filter of the conventional mobile terminal, when interference exists.

5 Figure 8 is a block diagram of the equalizing filter used by the mobile terminal of the present invention.

Figure 9 is a block diagram of the channel estimation unit used by the mobile terminal of the present invention.

## 10 Best Mode for Carrying Out the Invention

The best mode for carrying out the present invention is explained, with reference to the drawings.

[First Embodiment]

(Construction of the first embodiment)

15 The first embodiment of the present invention is shown in Figure 1. In Figure 1, the signal received by antenna 201 is inputted into frequency conversion unit 202 which may be of direct system for converting directly the received signal into a base band signal, or may be of super heterodyne for converting 20 the received signal into a base band signal through a radio frequency amplification stage, an intermediate frequency amplification stage and a detection stage. The output from frequency conversion unit 202 is inputted simultaneously into both of equalizing filter 203 and channel estimation unit 204.

25 The transfer function F(f) of equalizing filter 203 is made to be the inverse of the transfer function C(f) of the propagation

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channel which are estimated by channel estimation unit 204. Therefore,

$$F(f) = 1/C(f)....(1)$$

,where "f" is frequency.

Next, the output from equalizing filter is inputted simultaneously into both of de-spreading unit 205 and code timing detection unit 206. Code timing detection unit 206 measures the decoded timing of the signal component of which distortion is eliminated by equalizing filter 203, while despreading unit 205 de-spreads the signal component at the decoded timing. The de-spread signal is inputted into decoding unit 207 in order to output the received data.

Figures 8 and 9 show embodiments of equalizing filter 203 and channel estimation unit 204, respectively. Equalizing filter 203 as shown in Figure 8 is a feed forward filter with "n" raps. As shown in Figure 8, the base band signal outputted from frequency conversion unit 202 goes through delay circuits T2031 to 2033 which are connected in series. The outputs from delay circuits are multiplied by tap weight coefficients W1 to W3, respectively, and then added in adder 2038. The output fron adder 2038 is outputted toward de-spreading unit 205 and code timing detection unit 206.

The base band signal outputted from frequency conversion unit 202 is also inputted into channel estimation unit 204 as shown in Figure 9. The base band signal includes a pilot signal which is a spectrum spread signal with a prescribed pseudo noise sequence. Matching filter 2041 is matched to the pilot

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signal. Therefore, an impulse response is obtained by inputting the base band signal into matching filter 2041. The output from matching filter 2041 is inputted into weight coefficients decision unit 2042 in order to decide tap weight coefficients W1 to Wn in equalizing filter 2041 as shown in Figure 8. Here, the tap weight coefficients W1 to Wn are decided in such a manner that the characteristics of equalizing filter is inverse of the impulse response of the channel. Weight coefficients decision unit 2042 outputs the decided tap weight coefficients W1 to Wn toward equalizing filter 203.

Although a single de-spreading unit 205 and a single decoding unit 207 are shown in Figure 1, RAKE receiving system which contains a plurality of de-spreading units and combining the results of de-spreading may be adopted in order to improve a data error rate.

(Explanation of the Operation of the Embodiment)

Figure 2 shows an example of a received waveform measured by timing detection unit 206 in the receiving system for mobile station of the present invention. The desired signal is waveform d<sup>3</sup>, while the undesired signal is waveform i<sup>3</sup>.

In Figure 2, the desired signal is separated from the undesired signal, for easy understanding, although the waveform actually received is a superposition of the two wave forms. The output from the matching filter contains interference due to the undesired signal components at the sample points as shown in Figure 7, under the channel distortion.

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However, The interference disappear at the sample points where the received signal become maximum, because the channel distortion is equalized as shown in Figure 2 in the receiving system for mobile station of the present invention.

Figure 3 shows the DUR (Desired to Undesired signal power Ratio) of the conventional system as shown in Figure 5 and the DUR of the system of the present invention as shown in Figure 1.

In Figure 3, curve 1-c is the DUR of the conventional system, while curve 1-p is the DUR of the system of the present invention. The DUR as shown by curve 1-c decreases as the mobile stations increase, while the DUR as shown by curve 1-p stays constant regardless of the number of mobile stations. On the other hand, when the number of mobile stations decreases, the DUR as shown by curve 1-c becomes greater than the DUR of the system of the present invention, due to the effect of the RAKE receiving. In conclusion, The communication quality of the present invention becomes better than that of the conventional system, due to the elimination of interference by the equalization, when a lot of mobile stations is controlled by a base station

Therefore, a single base station can controls greater number of mobile stations in the present invention than in the conventional system.

25 [Second Embodiment]

The second embodiment of the present invention is shown in Figure 4. As shown in Figure 4, the signal received by antenna

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301 is converted into a base band signal by frequency conversion unit 302 of which output is inputted into RAKE receiver 303 and equalizing receiver 304.

RAKE receiver 303 may be the conventional RAKE receiver as shown in Figure 5, while equalizing receiver may be the equalizing receiver as shown in Figure 1. The outputs from RAKE receiver 303 and equalizing receiver 304 are inputted into selector 305 in order to output higher quality data.

In the second embodiment as shown in Figure 4, the output from RAKE receiver is compared with the output from equalizing receiver, in order to select the higher quality signal. As shown in Figure 3, the signal quality becomes lower, when the signal is decoded only by the equalizing under the smaller number of mobile stations controlled by a base station. However, according to the second embodiment, the signal quality is high due to the effect of RAKE receiving, even when the number of mobile stations is small.

## Industrial Applicability

According to the present invention, the interference due to the undesired signal of which timing is different from that of the desired signal is eliminated by the equalization of channel distortion. Therefore, the communication quality becomes high and the down channel capacity becomes large, due to the interference elimination.

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#### CLAIMS

- 1. (Amended) A mobile station receiving method on a down channel in a <u>code division multiple access</u> CDMA (Code Division Multiple Access) cellular system in which a base station modulates, by using orthogonal pseudo random codes, transmission signals towards a plurality of mobile stations, transmits the modulated signals synchronously, while said mobile stations receive the modulated signals distorted by a plurality of radio channels of which delay times are different,
- which is characterized in that said mobile station comprises an equalization filter and a transmission estimation unit, wherein said transmission estimation unit outputs an estimation result of frequency characteristics of transmission channel, and sets up such frequency characteristics of said equalization filter that the frequency characteristics of said equalization filter is inverse with that of the estimation result.
- 2. The mobile station receiving method according to claim 1, wherein said filter comprises:
- 20 a plurality of delay circuits which are connected in series;
  - a plurality of multipliers each of which multiples each prescribed weight coefficient by the output from each delay circuit; and
    - an adder for adding the outputs from said multipliers,
- 25 wherein said modulated signals are equalized adaptively as the distortions of said radio channels changes.

3. A mobile station receiving method on a down channel in a CDMA (Code Division Multiple Access) cellular system in which a base station modulates, by using orthogonal pseudo random codes, transmission signals towards a plurality of mobile stations, transmits the modulated signals synchronously, while said mobile stations receive the modulated signals distorted by a plurality of radio channels of which delay times are different,

which comprises:

a first method for equalizing and demodulating said modulated signals from said base station, by using a filter of which frequency characteristics is inverse with that of said radio channels; and

a second method for demodulating independently each of said modulated signals which pass through a plurality of said radio channels of which delay times are different, and for combining the demodulation results.

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which is characterized in that an output with higher communication quality is selected among the outputs by said first and second method.

- 4. The mobile station receiving method according to claim 3, wherein said filter comprises:
  - a plurality of delay circuits which are connected in series;
  - a plurality of multipliers each of which multiples each prescribed weight coefficient by the output from each delay circuit; and
- an adder for adding the outputs from said multipliers, wherein said modulated signals are equalized adaptively as the distortions of said radio channels changes.
  - 5. (Amended) A mobile station receiving method on a down channel in a code division multiple access CDMA (Code Division Multiple Access) cellular system in which a base station modulates, by using orthogonal pseudo random codes, transmission signals towards a plurality of mobile stations, transmits the modulated signals synchronously, while said mobile stations receive the modulated signals distorted by a plurality of radio channels of which delay times are different, which is characterized in that said mobile station comprises:
    - a frequency conversion unit for converting said modulated signals received by an antenna into base band signals;
- a channel estimation unit for detecting frequency 25 characteristics of said radio channels on the basis of said modulated signals;

an <u>equalization</u> filter unit of which frequency characteristics is inverse with that of said radio channels, <u>by using tap weight</u> coefficients from said channel <u>estimation unit</u>; and

a demodulator for <u>de-spreading and</u> demodulating the outputs 5 from said <u>equalization</u> filter unit of which inputs are said base band signals.

6. A mobile station receiving apparatus on a down channel in a CDMA (Code Division Multiple Access) cellular system in which a base station modulates, by using orthogonal pseudo random codes, transmission signals towards a plurality of mobile stations, transmits the modulated signals synchronously, while said mobile stations receive the modulated signals distorted by a plurality of radio channels of which delay times are different, which is characterized in that said mobile station comprises a first receiving unit, a second receiving unit and a selection unit,

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which is characterized in that said selection unit selects an output with higher communication quality is selected among the outputs by said first and second receiving units.

- 7. (Amended) A communication system on a down channel in a code division multiple access CDMA (Code Division Multiple Access) cellular system in which a base station modulates, by using orthogonal pseudo random codes, transmission signals towards a plurality of mobile stations, transmits the modulated signals synchronously, while said mobile stations receive the modulated signals distorted by a plurality of radio channels of which delay times are different, which is characterized in that said mobile station comprises:
  - a frequency conversion unit for converting said modulated signals received by an antenna into base band signals;
- 15 a channel estimation unit for detecting frequency characteristics of said radio channels on the basis of said modulated signals;
  - an <u>equalization</u> filter unit of which frequency characteristics is inverse with that of said radio channels, <u>by using tap</u> coefficients from said channel estimation unit; and
  - a demodulation unit for <u>de-spreading and</u> demodulating the outputs from said <u>equalization</u> filter unit of which inputs are said base band signals.
- A communication system on a down channel in a CDMA
   (Code Division Multiple Access) cellular system in which a base station modulates, by using orthogonal pseudo random codes, transmission signals towards a plurality of mobile stations,

transmits the modulated signals synchronously, while said mobile stations receive the modulated signals distorted by a plurality of radio channels of which delay times are different,

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#### ABSTRACT

An object of the present invention is to prevent degradation of communication quality and decrease of manageable number of mobile terminals due to interference by signal components received at different timings under channel distortion. The present invention is directed to a mobile station receiving method on a down channel in a CDMA (Code Division Multiple Access) cellular system in which a base station modulates, by using orthogonal pseudo noise sequences, transmission signals towards a plurality of mobile stations, transmits the modulated signals synchronously, while the mobile stations receive the modulated signals distorted by a plurality of radio channels of which delay times are different. The modulated signals transmitted by the base station are equalized and demodulated by using a filter of which frequency characteristics is inverse to that of the radio channels.

Fig. 1

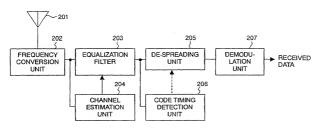


Fig. 2

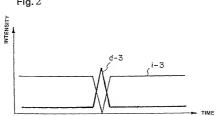
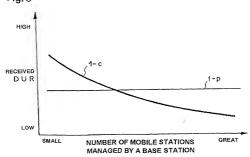


Fig. 3



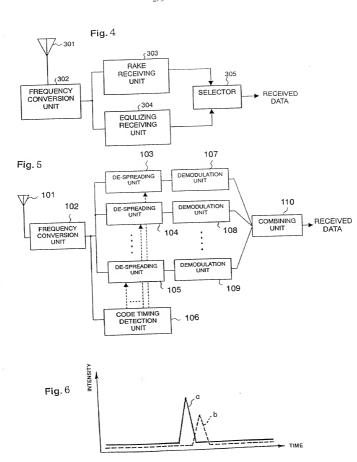


Fig. 7

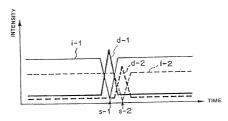


Fig. 8

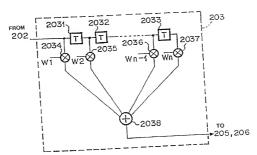


Fig. 9

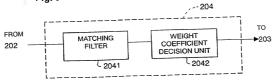


Fig. 1

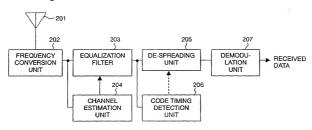


Fig. 2

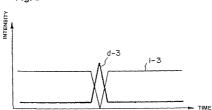
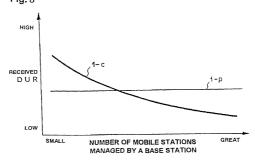


Fig. 3



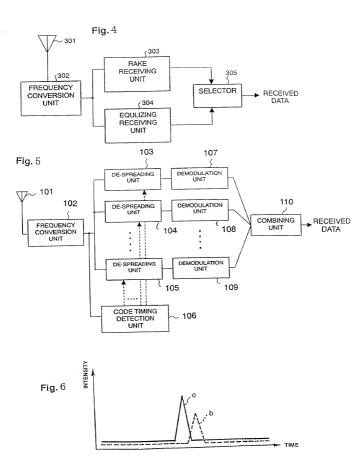


Fig. 7

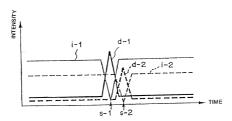


Fig. 8

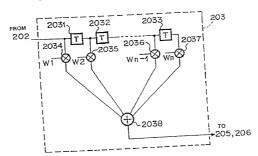
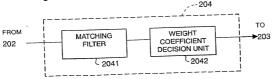


Fig. 9



UNITED STATES OF AMERICA COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION					P/1929-79		
As a below named inventor, I he that I verily believe that I am the or named) of the subject matter which MOBILE STATION I	iginal, first and sole inventised in the invention of the contract of the invention of the	stor (if only one a patent is sough	name is listed below) at on the invention en	or a joint invent titled:	or (if plural inventors are		
the specification of which is attached was filed on October	d hereto, unless the follow 22, 1999			жизохимино	or PCT International patent		
application number PCT.	/JP99/05845	and was	mended on		(if any).		
I hereby state that I have review any amendment referred to above. I acknowledge the duty to discle Regulations, §1.56. I hereby claim priority benefits United States provisional application having a filing date before that of the	ose all information known under Title 35, United Sta 1(3) listed below and have to application on which pr	to be material to tes Code §119 o also identified b	o patentability in acco of any foreign applica- clow any foreign app	rdance with Title	37, Code of Federal or inventor's certificate or		
Prior Foreign or Provisional Applic COUNTRY	APPLICATION N	UMBER	DATE OF FILING PRIC		PRIORITY CLAIMED		
			(day, month, year)		UNDER 35 U.S.C. 119 YES X NO		
Japan	313445/1998		04,11,199	3.8	YES NO		
					YES NO		
subject matter of each of the claims paragraph of Title 35, United States Title 37, Code of Federal Regulatio international filing date of this appli	cation.		isclose information w	hich is material to			
UNITED STATES APPLICATION NUMBER	DA* (dar	DATE OF FILING (day, month, year)		STATUS (patented, pending, abandoned)			
I hereby appoint customer no. 2 Reg. No. 18_10th_Lerome M. Berlin Stanley H. Lieberstein - Reg. No. 2 Reg. No. 3_1082; James A. Finder Douglas A. Miro - Reg. No. 3_164 business in the Patent & Trademark SEND CORRESPONDENCE TO:	352 OSTROLENK, FABI ter - Reg. No. 18,653; Ro 2,400; Steven I. Weisburt - Reg. No. 30,173; Willis 3, as attorneys with full po- Office connected therewith OSTROLENK, FA 1180.AVENUE OF NEW YORK, NEW CUSTOMER NO. 7	ER, GERB & SO shert C. Faber - d - Reg. No. 27 Im O. Gray, fill ower of substitut th and to receive BER, GERB & THE AMERIC (YORK 10036	DFFEN, LLP, and the Reg. No. 24,322; Ed. 409; Max Moskowitz-Reg. No. 30,944; Lion and revocation to all correspondence. SOFFEN, LLP AS. 3403		EPHONE CALLS TO:		
I hereby declare that all stateme believed to be true; and further that punishable by fine or imprisonment may jeopardize the validity of the a	nts made herein of my ow these statements were ma or both, under Section I	n knowledge ar de with the kno 001 of Title 18	e true and that all stat				
FULL NAME OF SOLE OR FIRST INVE		VENTOR'S SIGN.	~ /	PE DATE			
HIROSHI FURUKAWA	172	biroshi	Lurukawa	COUNTRY OF C	ch 23, 2001		
Tokyo, Japan V	roragn Country)			Japan			
rost office Address c/o NEC Corporat	ion, 7-1, Sh	iba 5-ch	nome, Minat		kyo, Japan		
FULL NAME OF SECOND JOINT INVEST	YTOR (IF ANY) IF	NVENTOR'S SIGN	ATURE	DATE			
RESIDENCE (City and either State or	Foreign Country)			COUNTRY OF C	TTZENSHIP		
POST OFFICE ADDRESS							

INVENTOR'S SIGNATURE

DATE

COUNTRY OF CTITZENSHIP

POST OFFICE ADDRESS

FULL NAME OF THIRD JOINT INVENTOR (IF ANY)

RESIDENCE (City and either State or Foreign Country)